

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thomas G. WOOLSTON, et al.      Art Unit : Unknown  
Serial No. :    Examiner : Unknown  
Filed : October 21, 1999  
Title : MODULAR COMPUTER PROGRAM FOR MANAGING DYNAMIC PRICING  
INFORMATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

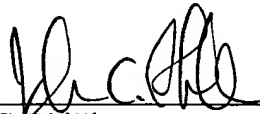
TRANSMITTAL OF DECLARATION OF SMALL ENTITY STATUS

Enclosed is a Declaration of Small Entity Status which shows that the Applicant is a small business concern.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 21, 1999

  
\_\_\_\_\_  
John C. Phillips  
Reg. No. 35,322

JCP/tzc

Fish & Richardson P.C.  
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Case # 11092-012001

ATTORNEY DOCKET NO. 11092-012001

Applicant or Patentee: Thomas G. WOOLSTON, et al.

Serial or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

For: MODULAR COMPUTER PROGRAM FOR MANAGING DYNAMIC PRICING INFORMATION**Cursor VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) — SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: NAVI.ET.COM, INC.  
 Address of Small Business Concern: 13314 I Street  
Omaha, Nebraska 68137

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled MODULAR COMPUTER PROGRAM FOR MANAGING DYNAMIC PRICING INFORMATION by inventor(s) THOMAS G. WOOLSTON, PAUL ANDREW KAVITZ AND CAMERON DAVID JOHN MCEACHERN described in:

- ☒ the specification filed herewith.  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ INDIVIDUAL    ☐ SMALL BUSINESS CONCERN    ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

Name:	Thomas G. Woolston, Esq.
Title:	General Counsel
Address:	13314 I Street Omaha, Nebraska 68137

Signature: \_\_\_\_\_

Date: 10/21/99

CONFIDENTIAL

Attorney's Docket No.: 11092-012001  
Client's Ref. No.:

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MODULAR COMPUTER PROGRAM FOR MANAGING DYNAMIC PRICING INFORMATION, the specification of which:

- ☒ is attached hereto.  
☐ was filed on \_ as Application Serial No. \_ and was amended on \_  
☐ was described and claimed in PCT International Application No. \_ filed on \_  
and as amended under PCT Article 19 on \_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

John C. Phillips, Reg. No. 35,322  
Michael J. McKeon, Reg. No. 37,888

Thomas G. Woolston, Reg. No. 40,235 Ruffin B. Cordell, Reg. No. 33,487  
John F. Hayden, Reg. No. 37,640

Address all telephone calls to JOHN C. PHILLIPS at telephone number 202-783-5070.

Address all correspondence to JOHN C. PHILLIPS at:

FISH & RICHARDSON P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: THOMAS G. WOOLSTON

Inventor's Signature:

Residence Address:

Citizenship:

Post Office Address:

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Alexandria, VA 22309  
United States  
8408 Washington Avenue  
Alexandria, VA 22309

Date:

10/21/99

Attorney's Docket No.: 11092-012001  
Client's Ref. No.:

**Combined Declaration and Power of Attorney**  
**Page 2 of 2 Pages**

Full Name of Inventor: PAUL ANDREW KAVITZ

**Date:**

**Inventor's Signature:**

**Residence Address:**

## 9 Dion

**Laguna Niguel, CA 92677**

**Citizenship:**

United States

**Post Office Address:**

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Laguna Niguel, CA 92677

Full Name of Inventor: CAMERON DAVID JOHN MCEACHERN

Date:

**Inventor's Signature:**

**Residence Address:**

215-Exeter Avenue

**San Carlos, CA 94070**

## Citizenship:

## Australia

**Post Office Address:**

**215 Exeter Avenue**

San Carlos, CA 94070

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[illegible]

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MODULAR COMPUTER PROGRAM FOR MANAGING DYNAMIC PRICING INFORMATION, the specification of which:

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Full Name of Inventor: THOMAS G. WOOLSTON

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence Address: 8408 Washington Avenue  
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Citizenship: United States  
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**Combined Declaration and Power of Attorney**  
Page 2 of 2 Pages

Full Name of Inventor: PAUL ANDREW KAVITZ

Inventor's Signature:

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Date:

20 Oct -99

Full Name of Inventor: CAMERON DAVID JOHN MCEACHERN

Inventor's Signature:

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